Option

(Right to Buy)

Employee Stock

Option

(Right to Buy)

\$ 12.45

\$ 15.34

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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longer subject to Section 16. Form 4 or Form 5 obligations may

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

continue. I	See Instruction	n Filed pursuant	to Section 16(a)) of the		Comp					34 o	or Section	on 30	O(h) of	the Inves	tment				
(Print or Type Responses) 1. Name and Address of Reporting Person * Bergman Judson				2. Issuer Name and Ticker or Trading Symbol ENVESTNET, INC. [ENV]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)								
(Last) (First) (Middle) 35 EAST WACKER DRIVE, SUITE 2400				3. Date of Earliest Transaction (Month/Day/Year) 03/28/2017								X Director								
ŕ				4. If Amendment, Date Original Filed(Month/Day/Year)								6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person								
(City)				Table I - Non-Derivative Securities Acqui								ired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Yes						Date, if Code (Instr.		:		4. Securities Acquire (A) or Disposed of ((Instr. 3, 4 and 5)							6. Ownership Form: Direct (D) or Indirect	of Inc Benef Owne	Beneficial Ownership	
						Code		V	Amou	Amount (A) or (D)		Price					(I) (Instr. 4)			
Common Sto	ock													655,25	59			D		
Keminder: Rep	ort on a separ	ate line for each clas	Table II					t C	Persor this fo curren	orm ar ntly va	e not lid O	require MB cor	ed to	respor numbe	nd unless	ormation of the form d	contained ir lisplays a	ı SEC	C 1474 ((9-02)
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number 6 of Derivative E		6. D Exp (Mo	, options, convertible securiti 6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)			9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)	Owner Form of Deriva Securit Direct or Indi (s) (I)	ship of Bottive O (In (D) rect	1. Natur f Indirect seneficia wnershi instr. 4)			
				Code	v	(A)	(D)	Date	e rcisable	e	Expi Date	ration	Titl	le	Amount or Number of Shares		(Instr. 4)	(Instr.	4)	
Employee Stock Option (Right to Buy)	\$ 7.5							04/3	30/20	09(1)	04/3	30/2018	(ommon Stock	36,000		36,000	D		
Employee Stock Option (Right to Buy)	\$ 7.15							05/	15/20	10(1)	05/1	15/2019	Co	ommon Stock	15,000		15,000	D		
Employee Stock Option (Right to Buy)	\$ 9							07/2	28/20	11(2)	07/2	28/2020		ommon Stock	376,000		376,000	D		
Employee Stock Option (Right to Buy)	\$ 12.55							02/2	28/20	12(2)	02/2	28/2021		ommon Stock	10,000		10,000	D		
Employee Stock																				

Common

Stock

Common

Stock

7,951

9,408

7.951

9,408

D

D

02/28/2013(2) 02/28/2022

02/28/2014(2) 02/28/2023

Employee Stock Option (Right to Buy)	\$ 41.84				02/28/2015(2)	02/28/2024	Common Stock	20,300		20,300	D	
Employee Stock Option (Right to Buy)	\$ 53.88				02/29/2016 ⁽²⁾	02/28/2025	Common Stock	17,400		17,400	D	
Employee Stock Option (Right to Buy)	\$ 20.51				02/28/2017 ⁽⁷⁾	02/28/2026	Common Stock	8,932		8,932	D	
Employee Stock Option (Right to Buy)	\$ 31.03				05/12/2017 ⁽⁷⁾	05/12/2026	Common Stock	25,000		25,000	D	
Employee Stock Option (Right to Buy)	\$ 31.7	03/28/2017	A	11,518	03/28/2018 ⁽⁷⁾	03/28/2027	Common Stock	11,518	\$ 31.7	11,518	D	
Restricted Stock Units	(3)				<u>(5)</u>	02/28/2018	Common Stock	3,867 (4)		3,867	D	
Restricted Stock Units	(3)				<u>(6)</u>	02/28/2019	Common Stock	5,955 (4)		5,955	D	
Performance Stock Unit Award	(3)				(8)	05/12/2019	Common Stock	125,000		125,000	D	
Restricted Stock Units	<u>(3)</u>	03/28/2017	A	34,554	<u>(6)</u>	03/28/2020	Common Stock	34,554 (4)	\$ 31.7	34,554	D	

Reporting Owners

D (1 0 N /	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Bergman Judson 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601	X		Chief Executive Officer					

Signatures

/s/ Shelly O'Brien, by power of attorney for Judson Bergman	03/30/2017
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Original option grant vests in three installments beginning on the first anniversary of the date of grant as listed in the "Date Exercisable" column.
- (2) Original option grant vests in four installments beginning on the first anniversary of the date of grant as listed in the "Date Exercisable" column.
- (3) Each restricted stock unit is the economic equivalent of one share of Envestnet, Inc. Common Stock
- (4) Each restricted unit represents the contingent right to receive one share of common stock upon the vesting of the unit.
- (5) The reporting person was granted 11,600 restricted stock units on February 28, 2015. The remaining unvested restricted stock units will continue to vest as to 1/3 of the original number of shares subject to the restricted stock awards on each succeeding February 28th until fully vested.
- (6) These restricted stock units vest over a 3 year period, one third of the total amount vest on the first anniversary of the date of the grant of restricted stock; and then one-twelfth of the total amount vest on each three-month anniversary.
- (7) This option grant vests over a 3 year period, one-third of the total amount vests on the first anniversary of the applicable date of grant and one-twelfth of the total amount vests on each three-month anniversary of the date of grant thereafter.
- The Reporting Person will earn a percentage of his performance stock unit award ("Banked Units") based on specific adjusted EBIDTA goals for the relevant performance period. This performance stock unit award vests over a 3 consecutive one-year performance periods, with 33.33% of Banked Units vesting following the First Performance Period, 50% of the outstanding Banked Units following the Second Performance Period and 100% of the outstanding Banked Units following the Final Performance Period, as described in the executive's employment agreement dated May 12, 2016 by and between the Company and the Reporting Person.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.