# FORM 4

Employee Stock

\$ 12.45

\$ 15.34

Option

Option

(Right to Buy)

(Right to Buy) Employee Stock

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

continu	ie. See tion 1(b).	Filed pu	rsuant to Section	` '		he Seco			_			r Secti	ion 30	0(h) of t	he				
(Print or Type	e Responses)																		
Name and Address of Reporting Person * Thomas Brandon				2. Issuer Name and Ticker or Trading Symbol ENVESTNET, INC. [ENV]							5	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner							
35 EAST WACKER DRIVE, SUITE 2400				3. Date of Earliest Transaction (Month/Day/Year) 02/29/2016								X_Officer (give title below) Other (specify below) Chief Investment Officer							
avva i ai	O W (0(0)	(Street)		4. If Am	endr	nent, Da	te Oı	riginal F	iled(Mo	nth/Da	ny/Year)		X_ Form	n filed by Or	oint/Group ne Reporting Pe ore than One Re		pplicable Line	)	
(City)	O, IL 6060	(State)	(Zip)					,			g						_		
		(		las p			1				ve Securities							7. Nature	
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Yea			ar) 2A. Deemed Execution Da any (Month/Day/		Date, if	Coc	ode (A		A) 01	curities Acquir Disposed of 3, 4 and 5)	(D) C					6. Ownership Form: Direct (D)	of Indirect Beneficial Ownership		
						,	(	Code	V A	Amou	(A) or (D)	Price				or Indirect (I) (Instr. 4)			
Common Stock												232,810		10			D		
Common Stock											1	13,938				I	See footnote		
	_		Table II										wned						
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execut Security or Exercise (Month/Day/Year) any							5. Number of Derivative		Expiration Date (Month/Day/Year)			7. Title of Und Securi			Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s	Ownersh Form of Derivati Security Direct (I or Indire	Ownersh (Instr. 4)
					(Instr. 3 and 5)		3, 4,									(Instr. 4)	(Instr. 4	•	
				Code	v	(A)	(D)	Date Exercis	sable		Expiration Date	Title		Amount or Number of Shares					
Employee Stock Option (Right to Buy)	\$ 9								/2011	(2)	02/28/2020	Com		62,000		27,000	D		
Employee Stock Option (Right to Buy)	\$ 12.55							02/28	/2012	(2)	02/28/2021	Com		10,000		10,000	D		

Common

Stock

Common

Stock

7,647

8,000

7,647

8,000

D

D

02/28/2013(2) 02/28/2022

02/28/2014(2) 02/28/2023

Employee Stock Option (Right to Buy)	\$ 41.84				02/28/2015(2)	02/28/2024	Common Stock	6,200		6,200	D	
Employee Stock Option (Right to Buy)	\$ 53.88				02/29/2016 <sup>(3)</sup>	02/28/2025	Common Stock	4,800		4,800	D	
Employee Stock Option (Right to Buy)	\$ 20.51	02/29/2016	A	2,464	02/28/2017 <sup>(8)</sup>	02/28/2026	Common Stock	2,464	\$ 20.51	2,464	D	
Restricted Stock Unit	(4)				(6)	02/28/2017	Common Stock	1,334 (5)		1,334	D	
Restricted Stock Unit	<u>(4)</u>				(7)	02/28/2018	Common Stock	1,066 (5)		2,134	D	
Restricted Stock Unit	<u>(4)</u>	02/29/2016	A	2,464	(9)	02/28/2019	Common Stock	2,464	\$ 20.51	2,464	D	

### **Reporting Owners**

D ( O N )	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Thomas Brandon 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601			Chief Investment Officer						

### **Signatures**

/s/ Shelly O'Brien, by power of attorney for Brandon Thomas	03/02/2016	
**Signature of Reporting Person	Date	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares held by a trust in which Mr. Thomas is the trustee.
- (2) Original option grant vests in three installments beginning on the first anniversary of the date of grant as listed in the "Date Exercisable" column.
- (3) Original option grant vests in four installments beginning on the first anniversary of the date of grant as listed in the "Date Exercisable" column.
- (4) Each restricted stock unit is the economic equivalent of one share of Envestnet, Inc. Common Stock
- (5) Each restricted stock unit represents the contingent right to receive one share of common stock upon vesting of the unit.
- The reporting person was granted 4,600 restricted stock units on February 28, 2014, of which 1/3 of the shares subject to the restricted stock unit of each grant vested on February 28, 2016.

  (6) The remaining unvested restricted stock units will continue to vest as to 1/3 of the original number of shares subject to the restricted stock units on each succeeding February 28th until fully vested.
- The reporting person was granted 3,200 restricted stock units on February 28, 2015, of which 1/3 of the shares subject to the restricted stock unit of each grant vested on February 28, 2016. (7) The remaining unvested restricted stock units will continue to vest as to 1/3 of the original number of shares subject to the restricted stock units on each succeeding February 28th until fully
- (8) This option grant vests over a 3 year period, one-third of the total amount vests on the first anniversary of the applicable date of grant and one-twelfth of the total amount vests on each three-month anniversary of the date of grant thereafter.
- (9) This restricted stock unit vests over a 3 year period, with one-twelfth of the total amount vesting on each three-month anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.