SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> D'Arrigo Peter				Name <b>and</b> Ticker c STNET, INC	0,	lool	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) 1000 CHESTERBROOK BOULEVARD				f Earliest Transactio 022	on (Month/Day/	Year)	х	Officer (give title below)	Other	Other (specify below)		
SUITE 250			4. If Ame	ndment, Date of Or	iginal Filed (Mo	nth/Day/Year)		dual or Joint/Group Fi	• • • •	able Line)		
(Street)							X	Form filed by One I Form filed by More		ng Person		
BERWYN	PA	19312										
(City)	(State)	(Zip)										
		Table I - No	n-Derivative S	ecurities Acq	uired, Disp	osed of, or Beneficia	lly Ow	ned				
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownershin		

	09/09/2022 Table II - Derivative Sec	(Month/Day/rear)	0)						(1130.4)				
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(instr. 4)			
Common Stock	09/09/2022		<b>F</b> <sup>(1)</sup>		473	D	\$53.25	103,894	D				
		curities Acqui lls, warrants, c	,		,			33.25 103,894 D					

		(° 5	, <b>I</b> ,		, .	,				- /				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		5. Num Derivat Securit Acquire or Disp (D) (Ins and 5)	tive ties ed (A) bosed of	Expiration Da (Month/Day/)			nderlying ecurity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		

Explanation of Responses:

1. The reporting person is reporting the withholding by Envestnet, Inc. of shares of common stock to satisfy the reporting person's tax withholding obligations in connection with the vesting of restricted stock units. **Remarks:** 

## /s/ Shelly O'Brien, by Power-of-Attorney for Peter D'Arrigo

<u>09/13/2022</u>

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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